



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/010,745	12/07/2001	David Charles Richards	1324034

Victor A. Cardona
Heslin Rothenberg Farley & Mesiti P.C.
5 Columbia Circle
Albany, NY 12203

CONFIRMATION NO. 2955

FORMALITIES LETTER



OC000000007315503

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 01/16/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$516.
 - \$180 for 10 total claims over 20.
 - \$336 for 4 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1386.

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/28/2002 MBERHE 00000022 10010745

01 FC:101	740.00 GP
02 FC:105	130.00 GP
03 FC:103	180.00 GP
04 FC:102	336.00 GP

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Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Large Entity)			Docket No. 1324.034	
In Re Application Of: RICHARDS				
Serial No. 10/010,745	Filing Date 12/07/2001	Examiner Unassigned	Group Art Unit 3727	
Invention: IMPROVEMENTS TO FEEDING BOTTLES				
COPY OF PAPERS ORIGINALLY FILED <hr style="width: 20%; margin: 5px auto;"/>				
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <u>Box Missing Parts</u> <p>This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on <u>01/16/2002</u> <i>Date</i></p> <p>Enclosed herewith for filing are the following:</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED) <input checked="" type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date. <input checked="" type="checkbox"/> A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date. <input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date. <input type="checkbox"/> A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office. <input checked="" type="checkbox"/> Other (list): <div style="border: 1px solid black; height: 200px; margin-top: 10px; padding: 5px;"> <p>Return-Receipt Postcard</p> </div>				

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
1324.034

In Re Application Of: RICHARDS

Serial No.
10/010,745

Filing Date
12/07/2001

Examiner
Unassigned

Group Art Unit
3727

Invention: IMPROVEMENTS TO FEEDING BOTTLES

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	\$740.00
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☐ **Design application filing fee**

☒ Total number of independent claims = 7 **\$336.00**

☒ Total number of claims = 30 \$180.00

☐ Multiple dependent claims

<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	\$130.00
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☐ Petition and fee for filing by other than all the inventors or a person not the inventor☐ Fee for processing an application filed with a non-English language specification☐ Fee for processing and retention of application

Total completion of application fees \$1,386.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees

Total fees due \$1,386.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

**Docket No.
1324.034**

In Re Application Of: RICHARDS

**Serial No.
10/010,745**

**Filing Date
12/07/2001**

**Examiner
Unassigned**

**Group Art Unit
3727**

Invention: IMPROVEMENTS TO FEEDING BOTTLES

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$1,386.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 08-1935
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 08-1935
A duplicate copy of this sheet is enclosed.


Signature

Dated: **March 18**, 2002

**VICTOR A. CARDONA, ESQ.
Attorney for Applicant
Registration No. 44,589**

**HESLIN ROTHENBERG FARLEY & MESITI P.C.
5 Columbia Circle
Albany, New York 12203
Phone: 518-452-5600 Fax: 518-452-5579**

I certify that this document and fee is being deposited on
03/18/2002 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.



Signature of Person Mailing Correspondence

VICTOR A. CARDONA

Typed or Printed Name of Person Mailing Correspondence

CC: